



**BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION  
OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE**

STATEMENT IN THE CASE OF AN ORIGINAL DEPOSIT  
pursuant to Rule 6.1

To  
LEIBNIZ-INSTITUT DSMZ-DEUTSCHE SAMMLUNG  
VON MIKROORGANISMEN UND ZELLKULTUREN GmbH  
Inhoffenstr. 7 B  
D-38124 Braunschweig  
GERMANY

*To be filled in by the Depository Authority*

DSMZ-Accession Number:

Date culture received:

**BACTERIA/ARCHAEA/FUNGI<sup>1</sup>**

THE UNDERSIGNED HEREBY DEPOSITS UNDER THE [BUDAPEST TREATY](#) THE MICROORGANISM IDENTIFIED HEREUNDER AND UNDERTAKES NOT TO WITHDRAW THE DEPOSIT FOR THE PERIOD SPECIFIED IN RULE 9.1.<sup>2</sup>

<b>I. IDENTIFICATION OF THE MICROORGANISM<sup>1</sup></b>	
Identification reference <sup>3</sup> :	The culture to be deposited is : <input type="checkbox"/> a pure culture
Taxonomic designation <sup>4</sup> :	<input type="checkbox"/> a mixture of microorganisms (not more than two components)
<b>II. CONDITIONS FOR CULTIVATION</b> <span style="float: right;">( )<sup>5</sup></span>	
Medium:	ph before sterilisation: Sterilisation     min at     ° C pH after sterilisation: Oxygen relationship: <input type="checkbox"/> aerobic <input type="checkbox"/> microaerophilic <input type="checkbox"/> obligate anaerobic Specific gaseous requirements: Incubation temperature:     ° C Incubation time: Short term storage at:     ° C Interval of transfer:

<sup>1</sup> The DSMZ only accepts for deposit microorganisms which belong to risk group 1 or 2 according to [EU Council Directive 2000/54](#) on the protection of workers from risks related to exposure to biological agents at work and can be classified as S1 or S2 organisms according to the [German Law Regulating Genetic Engineering](#) or Class 1 or 2 according to [Directive 2009/41/EC](#) of the European Parliament and of the council on the contained use of genetically modified micro-organisms respectively.

<sup>2</sup> This form may also be used if the undersigned converts into a deposit under the [BUDAPEST TREATY](#) the deposit of a microorganism that he or his predecessor in title has already deposited, outside the Budapest Treaty, with the same depository institution either before (Rule 6.4(d)) or after the acquisition by that institution of the status of *International Depository Authority*.

<sup>3</sup> Number, symbols etc., given to the microorganism by the depositor.

<sup>4</sup> It is strongly recommended to indicate the taxonomic designation and/or scientific description (see under VIII.) of the microorganism.

<sup>5</sup> Mark with a cross if additional information is given on an attached sheet.

III. CONDITIONS FOR LONG TERM STORAGE	( ) <sup>5</sup>
IV. CONDITIONS FOR TESTING VIABILITY	( ) <sup>5</sup>
V. COMPONENTS OF MIXED CULTURES (WHEN APPLICABLE)	( ) <sup>5</sup>
Description of components: (not more than two components)	
Method(s) for checking presence of components:	
VI. PROPERTIES DANGEROUS TO HEALTH OR ENVIRONMENT	( ) <sup>5</sup>
RISK GROUP of the microorganism <sup>1</sup> :	
<input type="checkbox"/> risk group 1 <input type="checkbox"/> risk group 2	
CLASSIFICATION in case the microorganism is genetically engineered <sup>1</sup> :	
<input type="checkbox"/> Class 1/S1 <input type="checkbox"/> Class 2/S2	
THE STRAIN HAS TO BE HANDLED UNDER LABORATORY CONTAINMENT LEVEL <sup>1</sup> :	
<input type="checkbox"/> L1 <input type="checkbox"/> L2	
IS THIS STRAIN DANGEROUS TO HEALTH OR THE ENVIRONMENT ?	
<input type="checkbox"/> YES <input type="checkbox"/> NO	
if yes, please specify:	
<input type="checkbox"/> the undersigned is not aware of such properties	

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## VII. IF THE MICROORGANISM IS GENETICALLY MANIPULATED

( )<sup>5</sup>

Please absolutely give complete answers!

**1. DATA CONCERNING THE *HOST ORGANISM***

designation:

risk group<sup>1</sup>: ( ) risk group 1 ( ) risk group 2

sensitivities:

resistances:

auxotrophies:

special properties:

(e.g. restriction/modification system,  
general genetic recombination)**2. DATA CONCERNING THE *DONOR ORGANISM***

designation:

risk group<sup>1</sup>: ( ) risk group 1 ( ) risk group 2 ( ) risk group 3description of the **cloned DNA fragment**:

cloned information:

size of the cloned DNA (in bp):

( ) complete genome ( ) cDNA  
( ) subgenomic ( ) subgenomic ( ) synthetic

potential risk of the cloned DNA:

( ) no potential risk ( ) pathogenic ( ) tumorigenic  
( ) toxigenic ( ) allergenic**3. DATA CONCERNING THE *VECTOR***

designation:

derivative of:

host specificity:

resistances:

plasmid size (in kb): without insert: with insert:

promoters:

additional reading frames:

own infectiosity: ( ) yes ( ) no  
mobilisable plasmid: ( ) yes ( ) no  
own transfer system: ( ) yes ( ) no  
transfer by endogenous viruses: ( ) yes ( ) no**4. DATA CONCERNING THE *GENETICALLY MANIPULATED ORGANISM***

special properties:

(e.g. production of ...; use as ...-vector etc.)

foreign DNA: ( ) chromosomally integrated ( ) episomal

potential risk: ( ) pathogenic ( ) tumorigenic  
( ) toxigenic ( ) allergenic

( ) no potential risk

**please indicate why:****According to the regulations of the [German Law Regulating Genetic Engineering](#) the DSMZ can only accept genetically manipulated, potentially pathogenic organisms for deposition when a copy of the permit issued by the competent authority (or by an equivalent national biological safety commission) for work on the organisms accompanies the deposition form.**

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VIII. SCIENTIFIC DESCRIPTION <sup>6</sup>	( ) <sup>5</sup>
IX. ADDITIONAL DATA <sup>7</sup>	( ) <sup>8</sup>
X. FATE OF THE CULTURE AFTER THE PRESCRIBED DURATION OF STORAGE <sup>9</sup>	
a) The culture is to be transferred into the publicly available collection of the DSMZ	( ) yes ( ) no
b) The culture is to be handed back to the depositor against a fee	( ) yes ( ) no
c) The culture is to be destroyed by the DSMZ	( ) yes ( ) no
XI. DEPOSITOR <sup>10</sup>	
Institution/ legal entity:	
Name of signing person(s) (typewritten):	
The signing person(s) deposit(s):	( ) on behalf of the legal entity ( ) as private depositor(s)
Address:	<b>Signature(s):</b>
Phone:	
Fax:	
E-Mail:	Date:

<sup>5</sup> Mark with a cross if additional information is given on an attached sheet.

<sup>6</sup> It is recommended to indicate the scientific description and/or proposed taxonomic designation (see I.) of the microorganism.

<sup>7</sup> If desired name and address of the inventor(s) might be recorded here.

<sup>8</sup> Mark with a cross if additional information is given on an attached sheet, such as the source of the microorganism, the name(s) and the address(es) of any other depository institution(s) with which the microorganism has been deposited, or the criterion used when drafting the proposed taxonomic designation (The supplying of such information is optional).

<sup>9</sup> The culture is to be stored for a period of at least five years after the most recent request for the furnishing of a sample of the deposited organism and, in any case, for at least 30 years after the date of deposit (Rule 9.1 of the [BUDAPEST TREATY](#)). The above regulation is valid until there will be binding jurisdiction.

<sup>10</sup> This Deposition Form is the contract between the depository and the depositor. Therefore it must be signed by the depositor. In case of a legal entity the signatures of two representatives, officially nominated by this entity, are recommended. Unless otherwise agreed, the undersigned is the correspondent of the DSMZ. Indication of the e-mail address helps to accelerate communication.